

**RULE 63 (37 C.F.R. 1.63)**  
**DECLARATION FOR PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention **PERFORATED CAPSULE FILTER** the specification of which (check applicable box(es)):

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as U.S. Application Serial No. \_\_\_\_\_

☐ was filed as PCT international application No. PCT/\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_ on \_\_\_\_\_ and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56(a). I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

**Prior Foreign Application(s):**  
**Application Number**

**Country**

**Day/Month/Year Filed**

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application listed below:

**Prior Provisional Application(s):**  
**Application Serial No.**

**Day/Month/Year Filed**

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. 1.56(a) which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

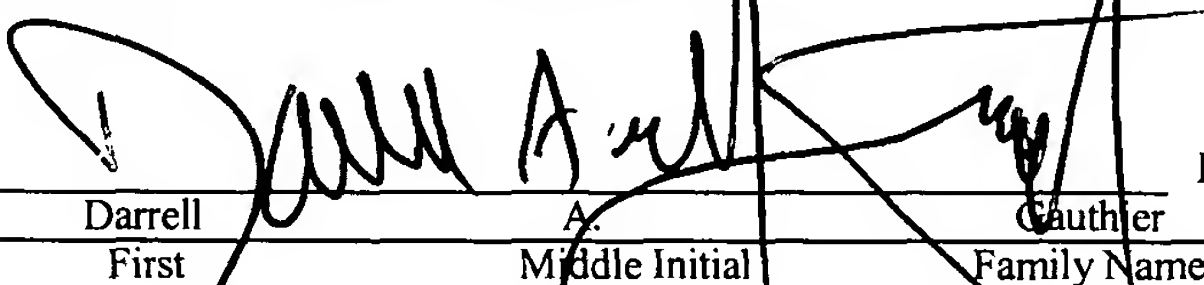
**Prior U.S./PCT Application(s):**  
**Application Serial No.**

**Date/Month/Year Filed**

**Status: patented,  
pending, abandoned**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1) Inventor's Signature  
Inventor's Name (typed)

	Date	<u>6/17/03</u>
<u>Darrell</u>	<u>A.</u>	<u>Gauthier</u>
First	Middle Initial	Family Name
		Citizenship

Residence (City)  
Post Office Address

<u>301 Truitt Drive</u>	(State/Foreign Country)	<u>North Carolina</u>
<u>Elon</u>	Zip Code	<u>27244</u>

2) Inventor's Signature  
Inventor's Name (typed)

		Date	
<u>                    </u>	<u>                    </u>	<u>                    </u>	<u>                    </u>
First	Middle Initial	Family Name	Citizenship

Residence (City)  
Post Office Address

<u>                    </u>	(State/Foreign Country)	<u>                    </u>
<u>                    </u>	Zip Code	<u>                    </u>

**FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information and signature and date for each.**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Darrell A. Gauthier**  
For: **PERFORATED CAPSULE FILTER**  
Filed concurrently herewith.  
Serial Number to be assigned.

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

### POWER OF ATTORNEY

Sir:

The undersigned, assignee of the entire interest in and to an application of **Darrell A. Gauthier** for U.S. Letters Patent to a new and original design for **PERFORATED CAPSULE FILTER**, by an assignment document being recorded contemporaneously herewith, hereby appoints the firm of Womble Carlyle Sandridge & Rice, PLLC, comprising C. Robert Rhodes, Registration No. 24,200; Jack B. Hicks, Registration No. 34,180; Jeffrey R. McFadden, Registration No. 46,916; Lewis S. Rowell, Registration No. 45,469; Craig H. Popalis, Registration No. 49,028; Louis T. Isaf, Registration No. 29,078; James F. Vaughan, Registration No. 31,889; Steven D. Kerr, Registration No. 32,472; D. Scott Sudderth, Registration No. 34,026; Michael A. Cicero, Registration No. 34,562; John J. Timar, Registration No. 32,497; Steven L. Schmid, Registration No. 39,358; David J. Hayzer, Registration No. 43,329; David S. Bradin, Registration No. 37,783; Jacquelyn D. Austin, Registration No. 43,478; Carl B. Massey, Jr., Registration No. 44,224; Thomas B. McGurk, Registration No. 44,920; William A. Capp, Registration No. 49,037; Ian Calvert, Registration No. 50,186; Paul C. Kimball, Registration No. 34,641; and Dale C. Hogue, Jr., Registration No. 32,823, as attorneys and/or agents with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and


Trademark Office connected therewith.

Furthermore, in accordance with 37 CFR 3.73(b), the undersigned hereby states that the documentary evidence of a chain of title from the original owner to the assignee, i.e. assignment document referenced above, has been reviewed and the undersigned certifies that, to the best of assignee's knowledge and belief, title is in assignee who seeks to prosecute this application.

**PLEASE ADDRESS ALL COMMUNICATIONS AND TELEPHONE CALLS TO:**

**Jack B. Hicks, Esq.  
Womble Carlyle Sandridge & Rice, PLLC  
300 N. Greene Street  
Suite 1900  
Greensboro, NC 27401  
Tel: (336) 574-8050**

International Inventory Management, LLC



Darrell A. Gauthier  
Managing Member

Date: \_\_\_\_\_

6/17/03

File No.: 4275-007(0007.6)

## ASSIGNMENT

ASSIGNMENT made by **Darrell A. Gauthier** ("Assignor") of 301 Truitt Drive, Elon, NC 27244, to **International Inventory Management, LLC** ("Assignee"), a limited liability company organized under the laws of the State of North Carolina and located and doing business at 456 North Manning Avenue, Elon, NC 27244.

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, and in fulfillment of my pre-existing obligation of assignment, Assignor does hereby sell, assign and transfer to Assignee, its successors and assigns, the entire right, title and interest in a **PERFORATED CAPSULE FILTER** and the invention therein as set forth in the application for United States Letters Patent therefor, for which I am making or have made application for Letters Patent, and for which I have executed a declaration on the 17<sup>th</sup> day of June 2003, and in and to said application and any divisions or continuations thereof, and any Letters Patent, obtained for said invention in the United States and foreign countries, or issuing out of said application or any such division or continuation thereof, and any reissues, reexaminations or extensions of any such Letters Patent.

Further, the Commissioner for Patents is hereby authorized and requested to issue Letters Patent for said invention to Assignee, as owner of the entire right, title and interest therein.

Further, said Assignor hereby covenants that Assignor has full right to convey Assignor's entire right, title and interest in said invention, and that Assignor has not executed and will not execute any agreement in conflict herewith.

Further, Assignor covenants that Assignor will at any time, upon request, execute and deliver any and all papers that may be necessary or desirable to perfect the title in said Assignee, to said invention or any Letters Patent that may be granted therefor, and that if Assignee, or its assignee, desires to make a substitute, divisional or continuation application to said invention, or to secure a reissue, reexamination or extension of said Letters Patent, to file a disclaimer relating thereto or an application for a corresponding patent in any foreign country, that Assignor will, upon request, sign all papers, make all rightful oaths, and do all lawful acts requisite for the said purposes.

Further, Assignor hereby acknowledges that Assignor's entire beneficial interest in the invention to which the above application relates is irrevocably vested in the Assignee including the right to make applications for protection in all countries abroad and to claim priority under the International Convention for such applications and hereby assents to the making of any such applications, with or without priority claim, and of any divisional application resulting therefrom.

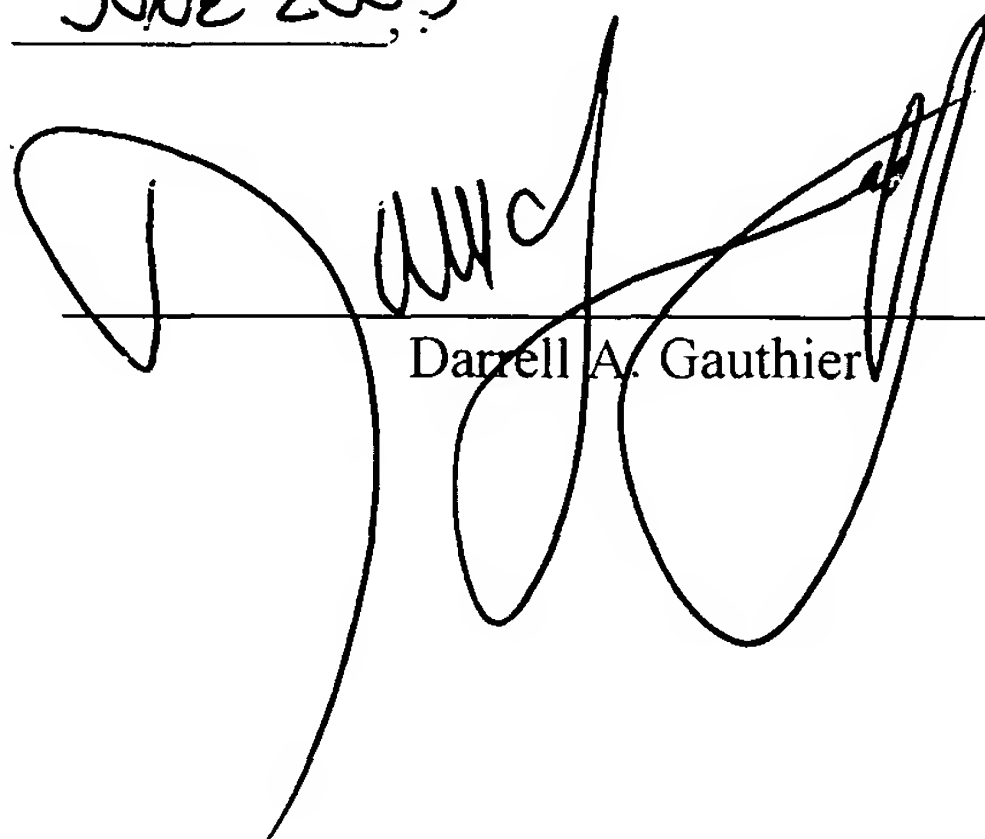
Further, Assignor covenants that Assignor will at all times communicate to Assignee, its successors, assigns or legal representatives, all facts relating to said invention and Letters Patent, or the history thereof, known to them, and testify as to the same in interferences or other litigation pertaining to the enforcement or validity of any and all patents relating to said invention when requested so to do.

Further, if this assignment is submitted for recordation at a time other than at the time of filing said patent application, Assignor hereby authorizes the above-mentioned assignee or the attorney of record to insert in this instrument the filing date and serial number of said application after the same shall have been identified by the United States Patent Office:

Serial No.: \_\_\_\_\_

Filing Date: \_\_\_\_\_

Signed and sealed this 19<sup>th</sup> day of JUNE 2003

  
\_\_\_\_\_  
Darrell A. Gauthier

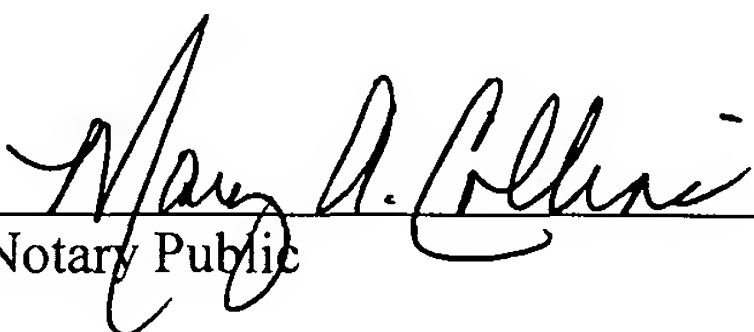
STATE OF N.C.

COUNTY OF Alamance

On this 19<sup>th</sup> day of June, 2003, before me personally appeared DARRELL A. GAUTHIER to me known and known to be the person described in and who executed the foregoing instrument, and he/she duly acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

[SEAL]

OFFICIAL SEAL  
NOTARY PUBLIC  
Alamance County, NC  
MARY A. COLLINS

  
\_\_\_\_\_  
Notary Public

My Commission Expires: 7/12/2004